#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): STEER et al. Group Art Unit: 1614 Serial No.: 10/532,039 Examiner: Unassigned Filed: April 21, 2005 Docket No.: 110.01980101 (71(c) Date: September 22, 2005 Confirmation No.: 8552 METHODS OF TREATING INJURIES OF THE NERVOUS SYSTEM ASSOCIATED WITH **HEMORRHAGE** Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate): Small entity status is entitled to be asserted in the above-identified application. X X An itemized return postcard. A Petition for Extension of Time for \_ month(s) and a check in the amount of \$\_ for the required fee. An Information Disclosure Statement (2 pgs); copy of International Search Report (2 pgs); 1449 forms (19 pgs); and copies of 207 documents cited on the 1449 forms. A request for continued examination (RCE) and a check in the amount of \$\_for the required filing fee. An Appeal Brief and a check in the amount of \$\_, for the required Appeal Brief filing fee. A check in the amount of \$\_\_, representing \_\_\_. A certified copy of a \_ application, Serial No. \_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119. Other: Amendment No Additional fee is required. The fee has been calculated as shown: Fee Calculation for Claims Pending After Amendment **Pending Claims** Claims Paid for Number of Cost per Additional Fees after Earlier (2) Additional Additional Required Amendment (1) Claims (1-2) Claim **Total Claims** x \$25 =Independent x \$100 =Claims One or More New Multiple Dependent Claims Presented? If Yes, Add \$180 Here → Total Additional Claim Fees Required

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

Name: Nancy A. Johnson

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	STEER et al.	017	Gro	up Art Unit:	1614			
Serial No.:	10/532,039	PAPR 07 200	Exa	miner:	Unassi	gned		
Filed: 371(c) Date:	April 21, 2005 September 22, 2005			ket No.: firmation No		980101		
Title:	METHODS OF TREATING INJURIES OF THE NERVOUS SYSTEM ASSOCIATED WITH HEMORRHAGE							
Commissioner fo P.O. Box 1450	Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450							
We are transmitti	ng the following doc	uments along with the	hic Transmittal Sha	et (which is	cuhmitt.	od in triplicate).		
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pgs); and	d copies of <u>207</u> docui	ments cited on the 1	449 forms.	•				
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One or	More New Multiple I	Dependent Claims P	resented? If Yes,	Add \$180 He	ere →			
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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.  CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the								
paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in a								
package addressed	package addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-							

Customer Number: 26813

Name: Nancy A. Johnson

Reg. No.: 47,266

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant(s): STEER et al. Group Art Unit: 1614 Serial No.: 10/532,039 Examiner: Unassigned Filed: April 21, 2005 Docket No.: 110.01980101 371(c) Date: September 22, 2005 Confirmation No.: 8552 METHODS OF TREATING INJURIES OF THE NERVOUS SYSTEM ASSOCIATED WITH Title: **HEMORRHAGE** Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate): Small entity status is entitled to be asserted in the above-identified application. X An itemized return postcard. A Petition for Extension of Time for \_ month(s) and a check in the amount of \$\_ for the required fee.  $\overline{\mathbf{x}}$ An Information Disclosure Statement (2 pgs); copy of International Search Report (2 pgs); 1449 forms (19 pgs); and copies of 207 documents cited on the 1449 forms. A request for continued examination (RCE) and a check in the amount of \$\_for the required filing fee. An Appeal Brief and a check in the amount of \$\_, for the required Appeal Brief filing fee. A check in the amount of \$\_\_, representing A certified copy of a \_ application, Serial No. \_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119. Other: Amendment No Additional fee is required. The fee has been calculated as shown: Fee Calculation for Claims Pending After Amendment **Pending Claims** Claims Paid for Number of Cost per Additional Fees after Earlier (2) Additional Additional Required Amendment (1) Claims (1-2) Claim **Total Claims** x \$25 =Independent x \$100 =Claims One or More New Multiple Dependent Claims Presented? If Yes, Add \$180 Here → Total Additional Claim Fees Required Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the 1450, on this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2006.

these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in a package addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

MUETING, RAASCH & GEBHARDT, P.A.

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### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	STEER et al.	)	Group Art Unit:	1614
Serial No.:	10/532,039	)	Examiner:	Unassigned
Confirmation	No.: 8552	)		
Filed:	April 21, 2005	)		
371(c) Date:	September 22, 2005	)		
For:	METHODS OF TREATING			US SYSTEM

### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Also, enclosed for the Examiner's information is a copy of an International Search Report from related PCT Application No. PCT/US03/31989. Per M.P.E.P. §609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 forms is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 forms, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

Applicant(s): STEER et al. Serial No.: 10/532,039 Confirmation No.: 8552 Filed: April 21, 2005

371(c) Date: September 22, 2005

For: METHODS OF TREATING INJURIES OF THE NERVOUS SYSTEM ASSOCIATED WITH HEMORRHAGE

The Examiner is invited to contact Applicants' Representatives at the telephone number listed below if they can be of any assistance during prosecution of the present application.

#### CERTIFICATE UNDER 37 C.F.R. 1.8:

Nancy A. Johnson

<u> April 5, 2006</u>

Date

NAJ/skd

Respectfully submitted

By

Mueting, Raasch & Gebhardt, P.A.

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INFORMATION DISCLOSURE
STATEMENT
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Atty. Docket No.: 110.01980101	Serial No.: 10/532,039
Applicant(s): STEER et al.	Confirmation No.: 8552
Application Filing Date: April 21, 2005 371(c) Date: September 22, 2005	<b>Group:</b> 1614
Information Disclosure Statement mailed:	April 5, 2006

#### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,656,725	08/12/97	Chittenden et al.			
	5,672,603	09/30/97	Nakai et al.			
	6,544,972 B1	04/08/03	Steer et al.			
	6,555,141 B1	04/29/03	Corson et al.			A
	2003 0044413A1	03/06/03	Steer et al.			
	10/549,867	09/22/05	Steer et al.			

## FOREIGN PATENT DOCUMENTS

Examiner	Сору	Document Number	Date	Country	Class	Subclass	Trans	lation
Initial	Enclosed				<u> </u>	1	Yes	No
	1	WO 99/15179	04/01/99	PCT				
	1	WO 2004/043342 A2	05/27/04	PCT				
	1	WO 2004/096123 A2 & A3	11/11/04	PCT				
	1	PCT/US06/04394	02/08/06	Steer et al.				

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description
	1	Adjei et al., "Cathepsin B Protease Activity But Not Interleukin 1 β-Converting Enzyme (ICE) Proteases Contributes to Camptothecin-Induced Apoptosis in a Human Hepatocellular Carcinoma Cell Line," AASLD Abstract 481, Hepatology, 1996;24(4 Part 2):247A.
	<b>√</b>	Adjei et al., "Selective Induction of Apoptosis in Hep 3B Cells by Topoisomerase I Inhibitors: Evidence for a Protease-dependent Pathway that Does Not Activate Cysteine Protease P32," J. Clin. Invest., 1996 Dec;98(11): 2588-2596.

EXAMINER	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in concomformance and not considered. Include copy of this form with next con-	nformance with MPEP 609; Draw line through citation if not in mmunication to applicant.

OMB No. 0651-0011 Page 2 of 19

		145020717		
INFORMATION	Atty. Docket No.: 110.01980101	<b>Serial No.:</b> 10/532,039		
DISCLOSURE STATEMENT	Applicant(s): STEER et al.	Confirmation No.: 8552		
SIAIEWENI	Application Filing Date: April 21, 2005 371(c) Date: September 22, 2005	<b>Group:</b> 1614		
	Information Disclosure Statement mailed:	April 5, 2006		

Examiner Initial	Copy Enclosed	Document Description
	1	Adjei et al., "Selective Induction of Apoptosis In A Human Hepatocellular Carcinoma (HCC) Cell Line by the Topoisomerase I Inhibitor Camptothecin," Abstract, Gastroenterology, 1996 Apr;110(4):A483.
	1	Alexander et al., "Aphasia after left hemispheric intracerebral hemorrhage," <i>Neurology</i> , 1980 Nov; 30:1193-1202.
	1	American Heart Association, <i>Heart and Stroke Facts</i> , 1991, Bethesda, MD, pgs. 7-11.
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	✓	Barnaby, "Stroke Intervention," <i>Emerg. Med. Clin. North Amer.</i> , 1990 May; 8(2):267-280.
	1	Beaufay et al., "Analytical Study of Microsomes and Isolated Subcellular Membranes from Rat Liver I. Biochemical Methods," <i>J. Cell Biol.</i> , 1974;61:188-200.
	1	Beers et al., Eds., The Merck Manual of Diagnosis and Therapy, 17th Ed., 1999:1452-1476.
:	1	Benedetti et al., "Subcellular changes and apoptosis induced by ethanol in rat liver," <i>J. Hepatology</i> , 1988 Apr;6(2):137-143.
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	1	Bogousslavsky et al., "The Lausanne Stroke Registry: Analysis of 1,000 Consecutive Patients With First Stroke," <i>Stroke</i> , 1988 Sep; 19(9):1083-1092.
	1	Boise et al., "bcl-x, a bcl-2-Related Gene That Functions as a Dominant Regulator of Apoptotic Cell Death," Cell, 1993 Aug 27;74(4):597-608.

EXAMINER	Date Considered

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INFORMATION
DISCLOSURE
STATEMENT

Applicant(s): STEER et al.

Application Filing Date: April 21, 2005
371(c) Date: September 22, 2005

Information Disclosure Statement mailed: April 5, 2006

Examiner Initial	Copy Enclosed	Document Description
	1	Botla et al., "Ursodeoxycholate Inhibits the Mitochondrial Membrane Permeability Transition (MMPT) Induced by Glycochenodeoxycholate: A Mechanism for Ursodeoxycholate Cytoprotection?" AASLD Abstract 316, Hepatology, 1994;20(4)Part 2:175A.
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	✓	Caplan et al., "Intracerebral Hemorrhage," Stroke: A Clinical Approach, Stoneham, MA, 1986:261-292.
	1	Carter et al., "Intracellular hydrogen peroxide and superoxide anion detection in endothelial cells," <i>J. Leukocyte Biol.</i> , 1994 Feb;55(2):253-258.

EXAMINER	Date Considered	

<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT

	1 450 1 07 12
Atty. Docket No.: 110.01980101	Serial No.: 10/532,039
Applicant(s): STEER et al.	Confirmation No.: 8552
Application Filing Date: April 21, 2005 371(c) Date: September 22, 2005	<b>Group:</b> 1614
Information Disclosure Statement mailed:	April 5, 2006

Examiner Initial	Copy Enclosed	Document Description
	1	Castro et al., "The Bile Acid Tauroursodeoxycholic Acid Modulates Phosphorylation and Translocation of Bad via Phosphatidylinositol 3-Kinase in Glutamate-Induced Apoptosis of Rat Cortical Neurons," <i>J. Pharm. Exp. Ther.</i> , 2004 Nov;311(2):845-852.
	1	Cathcart et al., "Detection of Picomole Levels of Hydroperoxides Using a Fluorescent Dichlorofluorescein Assay," <i>Anal. Biochem.</i> , 1983;134:111-116.
	1	Chazouillères et al., "Ursodeoxycholic acid for primary sclerosing cholangitis," J. Hepatology, 1990 Jul; 11(1):120-123.
	1	Cheng et al., "Caspase Inhibitor Affords Neuroprotection with Delayed Administration in a Rat Model of Neonatal Hypoxic-Ischemic Brain Injury," <i>J. Clin. Invest.</i> , May 1998;101(9):1992-1999.
	1	Chesney et al., "Collagenase-Induced Intrastriatal Hemorrhage in Rats Results in Long-term Locomotor Deficits," <i>Stroke</i> , 1995 Feb;26(2):312-316.
	1	Choi, "Ischemia-induced neuronal apoptosis," <i>Curr. Opin. Neurobiol.</i> , 1996 Oct;6(5):667-672.
	1	Columbano, "Cell Death: Current Difficulties in Discriminating Apoptosis From Necrosis in the Context of Pathological Processes In Vivo," <i>J. Cell. Biochem.</i> , 1995;58:181-190.
	1	Cooper, "Delayed Traumatic Intracerebral Hemorrhage," <i>Neurosurg. Clin. North Amer.</i> , 1992 Jul;3(3):659-665.
	1	Datta et al., "Cellular survival: a play in three Akts," Genes Dev., 1999 Nov 15; 13(22):2905-2927.
	1	De Ryck, "Animal Models of Cerebral Stroke: Pharmacological Protection of Function," <i>Eur. Neurol.</i> , 1990 Feb; 30(suppl 2):21-27.
	1	Desjardins et al., "The Role of Apoptosis in Neurodegenerative Diseases," <i>Metab. Brain Dis.</i> , 1998 Jun; 13(2):79-96.
	1	Dragunow et al., "Apoptosis, Neurotrophic Factors and Neurodegeneration," <i>Rev. Neurosci.</i> , 1998;8(3-4):223-265.

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Examiner Initial	Copy Enclosed	Document Description
	<b>√</b>	Duan et al., "Tauroursodeoxycholic Acid Improves the Survival and Function of Nigral Transplants in a Rat Model of Parkinson's Disease," <i>Cell Transplantation</i> , 2002;11(3):195-205.
	<b>&gt;</b>	Dupourque et al., "Cytoplasmic and Mitochondrial Malate Dehydrogenases from Beef Kidney," <i>Methods Enzymol.</i> , New York, NY, 1969; 13:116-122.
	<b>✓</b>	Dyken et al., "Special Report. Risk Factors in Stroke. A Statement for Physicians by the Subcommittee on Risk Factors and Stroke of the Stroke Council," <i>Stroke</i> , 1984 Nov-Dec; 15(6):1105-1111.
	<b>√</b>	Earnest et al., "Chemoprevention of Azoxymethane-induced Colonic Carcinogenesis by Supplemental Dietary Ursodeoxycholic Acid," Cancer Res., 1994 Oct 1;54(19):5071-5074.
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	1	Fan et al., "Modulation of Retinoblastoma and Retinoblastoma-related Proteins in Regenerating Rat Liver and Primary Hepatocytes," <i>Cell Growth &amp; Differ.</i> , 1995 Nov;6(11):1463-1476.
	<b>&gt;</b>	Fan et al., "The Retinoblastoma Gene Product Inhibits TGF-β1 Induced Apoptosis in Primary Rat Hepatocytes and Human HuH-7 Hepatoma Cells," Oncogene, 1996 May 2;12(9):1909-1919.
	1	Fan et al., "The Retinoblastoma Gene Product is a Negative Modulator of the Apoptotic Pathway," <i>Advan. Enzyme Regul.</i> , Tarrytown, NY, 1996;36:283-303.
	<b>V</b>	Fan et al., "A Novel Link Between REC2, a DNA Recombinase, the Retinoblastoma Protein, and Apoptosis," <i>J. Biol. Chem.</i> , 1997 Aug 1;272(31): 19413-19417.
	1	Fan et al., "Regulation of Apoptosis-Associated Genes in the Regenerating Liver," Semin. Liver Dis., New York, NY, 1998;18(2):123-140.

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	✓	Fisher, "The Pathological and Clinical Aspects of Thalamic Hemorrhage," <i>Trans. Am. Neurol. Assoc.</i> , Atlantic City, NJ, 1959 Jun 15-17:56-59.
	<b>&gt;</b>	Fisher, "Clinical Syndromes in Cerebral Arterial Occlusion," Pathogenesis and Treatment of Cerebrovascular Disease, Springfield, IL, 1961:151-181,
	<b>&gt;</b>	Foulkes et al., "The Stroke Data Bank: Design, Methods and Baseline Characteristics," <i>Stroke</i> , 1988 May; 19(5):547-554.
	<b>&gt;</b>	Goldin et al., "Apoptotic Bodies in a Murine Model of Alcoholic Liver Disease: Reversibility of Ethanol-Induced Changes," <i>J. Pathol.</i> , 1993 Sep; <i>171</i> (1):73-76.
	<b>√</b>	Gong et al., "Intracerebral Hemorrhage-induced Neuronal Death," <i>Neurosurgery</i> , Apr. 2001 Apr;48(4):875-883.
	<b>√</b>	Goodman and Gilman's, "The Pharmacological Basis of Therapeutics," Ninth Ed., New York, NY, 1996, pp. 506-517.
	>	Guicciardi et al., "Ursodeoxycholic Acid Cytoprotection: Dancing with Death Receptors and Survival Pathways," <i>Hepatology</i> , 2002 Apr;35(4):971-973.
	✓	Haas-Kogan et al., "Inhibition of apoptosis by the retinoblastoma gene product," <i>EMBO J.</i> , 1995;14(3):461-472.
	✓	Hanif et al., "Bile acids induce apoptosis in the colon of mice in vivo,"  Gastroenterology, Abstract A526, 1996;110(4):156.
	<b>y</b>	Hankey et al., "Surgery for Primary Intracerebral Hemorrhage: Is It Safe and Effective? A Systematic Review of Case Series and Randomized Trials," Stroke, 1997 Nov;28(11):2126-2132.
	<b>√</b>	Hara et al., "Inhibition of interleukin 1 $\beta$ converting enzyme family proteases reduces ischemic and excitotoxic neuronal damage," <i>Proc. Natl. Acad. Sci. USA</i> , 1997 Mar;94:2007-2012.
	1	Harnois et al., "BCL-2 is Overexpressed and Alters the Threshold for Apoptosis in a Cholangiocarcinoma Cell Line," <i>Gastroenterology</i> , Abstract, 1996 Apr; 110(4):A1205.
	1	Herrera et al., "TGFβ-induced Growth Inhibition in Primary Fibroblasts Requires the Retinoblastoma Protein," <i>Mol. Biol. Cell</i> , 1996 Sep;7(9):1335-1342.

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OMB No. 0651-0011 Page 7 of 19

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	<b>&gt;</b>	Heuman et al., "Conjugates of Ursodeoxycholate Protect Against Cholestasis and Hepatocellular Necrosis Caused by More Hydrophobic Bile Salts," <i>Gastroenterology</i> , 1991Jan;100(1):203-211.	
	✓	Heuman et al., "Conjugates of Ursodeoxycholate Protect Against Cytotoxicity of More Hydrophobic Bile Salts: <i>In Vitro</i> Studies in Rat Hepatocytes and Human Erythrocytes," <i>Hepatology</i> , 1991;14(5):920-926.	
	1	Heuman et al., "Ursodeoxycholate Conjugates Protect Against Disruption of Cholesterol-Rich Membranes by Bile Salts," <i>Gastroenterology</i> , 1994 May; 106(5):1333-1341.	
	1	Hickenbottom et al., "Nuclear Factor-κB and Cell Death After Experimental Intracerebral Hemorrhage in Rats," <i>Stroke</i> , 1999 Nov;30(11):2472-2478.	
	1	Hier et al., "Hypertensive Putaminal Hemorrhage," <i>Ann. Neurol.</i> , 1977 Feb; <i>I</i> (2):152-159.	
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*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

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INFORMATION	Atty. Docket No.: 110.01980101	Serial No.: 10/532,039
DISCLOSURE	Applicant(s): STEER et al.	Confirmation No.: 8552
STATEMENT	Application Filing Date: April 21, 2005 371(c) Date: September 22, 2005	<b>Group:</b> 1614
	Information Disclosure Statement mailed:	April 5, 2006

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Examiner Initial	Copy Enclosed	Document Description
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